



H.M.

Sector 4

PTO/SB/21 (12-97)

Approved for use through 9/30/00. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM		Application Number	09/776,478
		Filing Date	2/2/2001
		First Named Inventor	Zhao, Yan
		Group Art Unit	2152
		Examiner Name	
Total Number of Pages in This Submission	5	Attorney Docket Number	02365.P0018

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request of Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): - Postcard - check for \$948 - declaration
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Davis & Johnson LLP Bruce A. Johnson	
Signature		
Date	4-30-01	


22503
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is/being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 4-30-01

Type or printed name	Bruce A. Johnson	
Signature		Date 4-30-01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT **\$ 948.00**

Attorney Docket No. **02365.P0018**

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																																																																															
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <input type="text"/> Deposit Account Name <input type="text"/> <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>\$130</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>\$0</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>\$0</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td>\$0</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>\$0</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>\$0</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>\$0</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td>\$0</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>446</td><td>Extension for reply within third month</td><td>\$0</td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td>\$0</td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td>\$0</td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td>\$0</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td>\$0</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td>\$0</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td>\$0</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td>\$0</td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td>\$0</td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td>\$0</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td>\$0</td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td>\$0</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>\$0</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td>\$0</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td>\$0</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>\$0</td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td>\$0</td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR 1.129(h))</td><td>\$0</td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td>\$0</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td>\$0</td></tr> <tr><td colspan="4">Other fee (specify) _____</td> <td colspan="2">SUBTOTAL (3)</td> <td>\$130.00</td> </tr> <tr> <td colspan="6">* Reduced by Basic Filing Fee Paid</td> <td></td> </tr> </tbody> </table>				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	\$130	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$0	139	130	139	130	Non-English specification	\$0	147	2,520	147	2,520	For filing a request for ex parte reexamination	\$0	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	\$0	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	\$0	115	110	215	55	Extension for reply within first month	\$0	116	390	216	195	Extension for reply within second month	\$0	117	890	217	446	Extension for reply within third month	\$0	118	1,390	218	695	Extension for reply within fourth month	\$0	128	1,890	228	945	Extension for reply within fifth month	\$0	119	310	219	155	Notice of Appeal	\$0	120	310	220	155	Filing a brief in support of an appeal	\$0	121	270	221	135	Request for oral hearing	\$0	138	1,510	138	1,510	Petition to institute a public use proceeding	\$0	140	110	240	55	Petition to revive - unavoidable	\$0	141	1,240	241	620	Petition to revive - unintentional	\$0	142	1,240	242	620	Utility issue fee (or reissue)	\$0	143	440	243	220	Design issue fee	\$0	144	600	244	300	Plant issue fee	\$0	122	130	122	130	Petitions to the Commissioner	\$0	123	50	123	50	Petitions related to provisional applications	\$0	126	240	126	240	Submission of Information Disclosure Stmt	\$0	581	40	581	40	Recording each patent assignment per property (times number of properties)	\$0	146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	\$0	149	710	249	355	For each additional invention to be examined (37 CFR 1.129(h))	\$0	179	710	279	355	Request for Continued Examination (RCE)	\$0	169	900	169	900	Request for expedited examination of a design application	\$0	Other fee (specify) _____				SUBTOTAL (3)		\$130.00	* Reduced by Basic Filing Fee Paid						
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SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Bruce A. Johnson		Registration No. (Attorney/Agent)	37361	Telephone 512-858-1218
Signature				Date	4-30-01

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/776,478	02/02/2001	Yan Zhao	02365.P0018

CONFIRMATION NO. 7137

22503
 DAVIS & JOHNSON, LLP
 P O BOX 90698
 AUSTIN, TX 78709-0698

FORMALITIES LETTER



OC000000005844510

Date Mailed: 03/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$108.
 - \$108 for 6 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 948.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/04/2001 YPOLITE1 00000004 09776478

01 FC:101	710.00 0P
02 FC:103	108.00 0P
03 FC:105	130.00 0P